



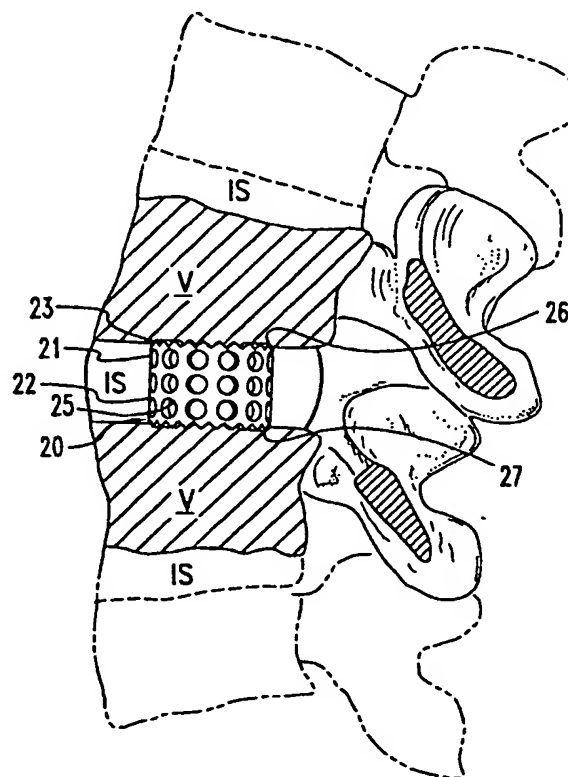
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61F 2/44	A1	(11) International Publication Number: WO 98/56319 (43) International Publication Date: 17 December 1998 (17.12.98)
<p>(21) International Application Number: PCT/US98/11606</p> <p>(22) International Filing Date: 11 June 1998 (11.06.98)</p> <p>(30) Priority Data: 08/872,689 11 June 1997 (11.06.97) US</p> <p>(71) Applicant (for all designated States except US): SDGI HOLDINGS, INC. [US/US]; Suite 508, 300 Delaware Avenue, Wilmington, DE 19801 (US).</p> <p>(72) Inventor; and (75) Inventor/Applicant (for US only): MCKAY, William, F. [US/US]; 3870 McElrie Cove, Memphis, TN 38133 (US).</p> <p>(74) Agents: GANDY, Kenneth, A. et al.; Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>	

(54) Title: REINFORCED BONE GRAFT SUBSTITUTES

(57) Abstract

One embodiment of a spinal spacer (10) includes a body (11) sized and configured for engagement between adjacent vertebrae (V). The body (11) includes two opposite faces (12, 14) and an outer surface (13) between the two faces (12, 14). In one embodiment, the body (11) includes deactivated bone material in synergistic combination with a bone growth factor. A sleeve (15) is disposed around the outer surface (13) of the body (11). The sleeve (15) is composed of a second material which is relatively stronger under compressive loads than the biocompatible material of the body (11). Also provided is a plurality of apertures (16) through the sleeve (15) in communication with the outer surface (13) of the body (11) for bone ingrowth. Means for attaching the sleeve to the endplates of adjoining vertebral bodies are also provided.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

REINFORCED BONE GRAFT SUBSTITUTES

The present invention is a continuation-in-part of Serial No. 08/485,842, filed June 7, 1995, entitled REINFORCED POROUS SPINAL IMPLANTS.

5

FIELD OF THE INVENTION

The present invention broadly concerns stabilizing the spine. Specifically, the invention concerns a reinforced bone graft substitute.

BACKGROUND OF THE INVENTION

10

Intervertebral discs, located between the endplates of adjacent vertebrae, stabilize the spine, distribute forces between vertebrae and cushion vertebral bodies. A normal intervertebral disc includes a semi-gelatinous component, the nucleus pulposus, which is surrounded and confined by an outer, fibrous ring called the annulus fibrosus. In a healthy, undamaged spine, the annulus fibrosus prevents the nucleus pulposus from protruding outside the disc space.

15

20

25

Spinal discs may be displaced or damaged due to trauma, disease or aging. Disruption of the annulus fibrosus allows the nucleus pulposus to protrude into the vertebral canal, a condition commonly referred to as a herniated or ruptured disc. The extruded nucleus pulposus may press on the spinal nerve, which may result in nerve damage, pain, numbness, muscle weakness and paralysis. Intervertebral discs may also deteriorate due to the normal aging process or disease. As a disc dehydrates and hardens, the disc space height will be reduced leading to instability of the spine, decreased mobility and pain.

-2-

Sometimes the only relief from the symptoms of these conditions is a discectomy, or surgical removal of a portion or all of an intervertebral disc followed by fusions of the adjacent vertebrae. The removal of the damaged or unhealthy disc will allow the disc space to collapse. Collapse of the disc space can cause instability of the spine, abnormal joint mechanics, premature development of arthritis or nerve damage, in addition to severe pain. Prosthetic implants are often used to prevent collapse of the space. The implant must provide temporary support and allow bone ingrowth. Success of the discectomy and fusion procedure requires the development of a contiguous growth of bone to create a solid mass because the implant may not withstand the compressive loads on the spine for the life of the patient.

Many attempts to restore the intervertebral disc space after removal of the disc have relied on metal devices. U.S. Patent No. 4,878,915 to Brantigan teaches a solid metal plug. U.S. Patent Nos. 5,044,104; 5,026,373 and 4,961,740 to Ray; 5,015,247 to Michelson and U.S. Patent No. 4,820,305 to Harms et al. teach hollow metal cage structures. There are several disadvantages associated with the use of these metal implants. Solid body metal implants do not allow bone ingrowth which may lead to the eventual failure of the implant. Surface porosity in such solid implants does not correct this problem because it will not allow sufficient ingrowth to provide a solid bone mass strong enough to withstand the loads of the spine. On the other hand, the hollow cage structures of Harms, Ray and Michelson allow ingrowth. These devices can also be filled with bone graft material to promote bone growth. Unfortunately, many of these devices are difficult to machine and therefore expensive. Furthermore, metal implants may stress shield the bone graft, increasing the time required for fusion to occur.

-3-

The Michelson implant further requires a special tool and additional preparation of the adjacent vertebral bodies to ensure fusion. A special press is required to forcibly inject a compressed core of osteogenic material into the device. The osteogenic material, which is removed from the patient's iliac crest, must be compressed so that the graft material extends through openings in the implant whereby the graft material directly contacts the bone of the adjacent vertebral bodies. Michelson also requires coring out an area of each adjacent vertebral body to provide sufficient surface area of contact between the implant and the cortical bone of the vertebrae.

The use of bone graft materials in these past metal cage fusion devices presents several disadvantages. Autografts, bone material surgically removed from the patient, are undesirable because they may not yield a sufficient quantity of graft material. The additional surgery to extract the autograft also increases the risk of infection and may reduce structural integrity at the donor site. The supply of allograft material, which is obtained from donors of the same species, is not limited. However, allografts are also disadvantageous because of the risk of disease transmission and immune reactions. Furthermore, allogenic bone does not have the osteogenic potential of autogenous bone and therefore will give only temporary support.

Due to the need for safer bone graft materials, bone graft substitutes, such as bioceramics have recently received considerable attention. Calcium phosphate ceramics are biocompatible and do not present the infectious or immunological concerns of allograft materials. Ceramics may be prepared in any quantity which is a great advantage over autograft bone graft material. Furthermore, bioceramics are

-4-

osteconductive, stimulating osteogenesis in boney sites, and are also thought to be osteogenic, able to initiate osteogenesis in non-boney sites. Bioceramics provide a porous matrix which further encourages new bone growth.

5 Unfortunately, ceramic implants lack the strength to support high spinal loads and therefore require separate fixation before the fusion.

Of the calcium phosphate ceramics, hydroxyapatite and tricalcium phosphate ceramics have been most commonly used

10 for bone grafting. Hydroxyapatite is chemically similar to inorganic bone substance and biocompatible with bone. However, it is slowly degraded. β -tricalcium phosphate is rapidly degraded in vivo and is too weak to provide any support. These ceramics have proven unsatisfactory for

15 providing temporary support after discectomy while awaiting fusion.

A need has remained for fusion spacers which stimulate bone ingrowth and avoid the disadvantages of metal implants yet provide sufficient strength to support the vertebral

20 column until the adjacent vertebrae are fused.

A need has also remained for bone graft substitutes which provide the osteogenic potential and low risk of infectious or immunogenic complications of autograft without the disadvantages of autograft.

-5-

SUMMARY OF THE INVENTION

In accordance with the invention, a spinal spacer is provided for engagement between vertebrae. The spacer includes a body sized and configured to fill the space
5 between the vertebrae and having two opposite faces and an outer surface between the two faces. The body includes a porous biocompatible material for permitting tissue ingrowth therethrough. In some embodiments the material is a bioceramic or a bone material. A sleeve is disposed around
10 the outer surface of the body. The sleeve is composed of a second material which is relatively more rigid than the biocompatible material of the body. The invention also contemplates an interbody fusion spacer having a height approximating the height of a human disc space. In another
15 specific embodiment, a vertebral body replacement spacer is provided for restoring the space left by the removal of a defective spinal element located between adjoining healthy vertebral bodies.

In one specific embodiment of the invention, there is
20 provided a plurality of apertures through the sleeve in communication with the outer surface of the body for bone ingrowth. In another embodiment, the sleeve is formed of a temperature responsive material such that the chamber has a first inner dimension that is slightly larger than an outer
25 dimension of the body when the sleeve is in a heated state to slidably receive the body within the sleeve. The chamber has a second inner dimension that is slightly smaller than the body when the sleeve is in a cooled state to thereby clamp the body therein.

30 In another specific embodiment of the invention, there is provided attaching means for attaching the sleeve to the endplates of adjoining vertebral bodies.

-6-

One object of the invention is to provide a spacer for engagement between vertebrae which encourages bone ingrowth and avoids stress shielding. Another object of the invention is to provide a spacer which restores the intervertebral disc space and supports the vertebral column while promoting bone ingrowth. A further object is to provide a vertebral body replacement spacer for use in restoring the space left by the removal of a defective spinal element while promoting fusion between the adjoining healthy vertebral bodies.

In one aspect, the invention provides a deactivated bone graft in synergistic combination with a bone growth factor and reinforced with a sleeve. This embodiment is advantageous because it combines the natural mineral structure of bone with the osteoinductive power of a bone growth protein in a load bearing spacer.

One benefit of the spacers of the present invention is that they combine the advantages of porous, biocompatible materials such as ceramics and bone products with the advantages of metals, without the corresponding disadvantages of each material. An additional benefit is that the invention provides a stable scaffold for bone ingrowth before fusion occurs. Other objects and further benefits of the present invention will become apparent to persons of ordinary skill in the art from the following written description and accompanying Figures.

-7-

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a side elevational view of a spinal implant according to one embodiment of this invention.

FIG. 2 is an exploded view of the implant of FIG. 1

5 FIG. 3 is a partial side sectional view of a spinal column having an implanted cylindrical interbody fusion device.

10 FIG. 4 is a partial side elevational view of a spinal column having an implanted cylindrical vertebral body replacement device.

FIGS. 5 - 13 are alternate embodiments of the implant of FIG. 1.

-8-

DESCRIPTION OF THE PREFERRED EMBODIMENTS

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the embodiments illustrated in the drawings and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended, such alterations and further modifications in the illustrated device, and such further applications of the principles of the invention as illustrated therein being contemplated as would normally occur to one skilled in the art to which the invention relates.

The present invention provides spinal implants which include a body composed of a porous biocompatible material reinforced by an outer sleeve made of a second material which is relatively stronger under the compressive load of the spine than the biocompatible material. The inventive implants restore the intervertebral disc space, provide a large surface area for bone ingrowth and eliminate the need for autografts. Implants according to this invention provide immediate load bearing capability and support for the vertebral column without stress shielding the bone implant material. In some aspects, the body is composed of an osteoconductive material including but not limited to bioceramics, autograft, allograft and xenograft. In preferred embodiments, the material is a deactivated bone material in synergistic combination with a bone growth factor such as bone morphogenetic protein (BMP).

A spinal implant 10 for engagement between vertebrae in accordance with a preferred embodiment of the present invention is depicted in FIGS. 1 and 2. The implant 10 includes a body 11 composed of a porous biocompatible

-9-

material for permitting tissue ingrowth therethrough. The body 11 includes two opposite faces 12, 14 and an outer surface 13 disposed between the two faces 12, 14. The body 11 is sized and configured for engagement between two
5 vertebrae V, as shown in FIGS. 3 and 4.

FIG. 3 shows an interbody fusion device 20 according to this invention engaged within the intervertebral space IS between two vertebrae V. Preferably, the body 25 has a height approximating the height of a human disc space IS,
10 and the opposite faces 26, 27 of the body each have a size and shape corresponding to the endplates of each of the vertebrae V. As shown in FIG. 5, the device 40 may include body 41 having a kidney-shaped cross-section which approximates the size and shape of a nucleus pulposus which
15 has been removed from an intervertebral disc, or the complete disc or vertebral endplate, and correspondingly shaped sleeve 45. In the embodiment shown in FIG. 3, the body is preferably sized and shaped to fit snugly within the space IS defined by the endplates and annuli of the adjacent
20 vertebral bodies V. An alternate shape for the body is shown in FIGS. 6 and 7. In some applications, it may be preferable that the height of the body 25 be slightly larger than the height of a human disc space IS to preserve disc space height under the compressive forces of the spine and
25 to avoid the effects of boney erosion.

The invention also contemplates vertebral body replacement devices 30 for use in restoring the space left by the removal of a defective spinal element located between adjoining healthy vertebral bodies V as shown in FIG. 4. In
30 such cases, the body 35 is configured to be locatable within the space between the adjoining vertebral bodies V. The invention contemplates that the body 35 may be any shape or size which is desirable for a spinal implant for engagement between vertebrae V.

-10-

The body is composed of a porous biocompatible material for encouraging bone ingrowth. Any porous biocompatible material is contemplated. Such materials and methods of preparing them are well known in the art. Bioceramics are preferred because they exhibit bioactive osteoconductivity. Calcium phosphate ceramics such as hydroxyapatite tricalcium phosphate, tetracalcium phosphate, α calcium pyrophosphate, β calcium pyrophosphate and mixtures thereof are preferred. It is contemplated that the biocompatible material may include collagen-ceramic composites as described in Toth, 1993 or ceramic-growth factor composites. Preferably, the ceramic-growth factor composite would be a ceramic-bone morphogenic protein (BMP) composite. BMP can be delivered to the fusion site via pores of the ceramic.

Biphasic calcium phosphate composites are preferred for optimal bone ingrowth and strength and may be prepared according to methods known in the art. (Dilecrin, 1992; Yubaoi 1993; Xingdong, 1993). The biphasic composites may include from about 10% to about 90% hydroxyapatite and about 90% to about 10% by weight of a resorbable calcium phosphate. Hydroxyapatite/ β -tricalcium phosphate (HA/TCP) biphasic osteoconductive ceramics are particularly preferred as described in U. S. Patent No. 5,306,303 to Lynch. This biphasic ceramic is biomechanically superior to the human tricortical iliac crest under compressive loading. Fusion rates with this ceramic with porosities of 30%, 50% and 70% have been found to be superior to the iliac crest autograft in a goat model. (An et al., 1995).

The ratio of HA/TCP affects the rate of biodegradability. Hydroxyapatite provides strength but it is slowly degraded. β -tricalcium phosphate is relatively

-11-

weak and rapidly degraded. HA/TCP biphasic ceramics may include from about 10% to about 90% hydroxyapatite and from about 90% to about 10% tricalcium phosphate by weight. The ceramic may include 60% hydroxyapatite and 40% tricalcium phosphate by weight, but most preferably, the ceramic will include 50% hydroxyapatite and 50% tricalcium phosphate by weight.

Porosity of the biocompatible material is required for ingrowth, but it is generally understood that as porosity increases, strength decreases. Implants according to the present invention will optimize porosity with strength requirements to avoid fracture. The biocompatible materials of this invention preferably include porosities of between about 40% and about 60%, with a 50% porosity being most preferred. A pore diameter of at least 200 to 600 microns is required for bone ingrowth. Therefore, the ceramics of the present invention are contemplated to have a mean pore size of about 200 to about 700 microns. Preferably, the mean pore size is about 400 microns.

Referring again to FIGS. 1 and 2, a spinal implant according to this invention includes a sleeve disposed around the outer surface 13 of the body 11. The sleeve 15 is composed of a second material which is relatively stronger under compressive loads than the porous biocompatible material. Preferably, the second material is composed of a metal material. The metal material includes any surgically suitable metal including titanium, titanium-vanadium-aluminum alloy, cobalt-chromium alloy, cobalt-chromium-molybdenum alloy, cobalt-nickel-chromium-molybdenum alloy, biocompatible stainless steel, tantalum, niobium, hafnium, tungsten and alloys thereof. Preferably, the metal is 316 LVM stainless steel, titanium or tantalum foam. Most preferably, the

-12-

metal material is an open-cell tantalum foam as described in U.S. Patent No. 5,282,861 to Kaplan. This material is a carbon-metal composite including a skeleton of vitreous carbon defining a network of interconnecting pores which are
5 infiltrated with tantalum or another suitable metal by chemical vapor deposition. This material is advantageous because it provides the strength of other metals to serve as a scaffold and prosthesis but also mimics the structure of bone. The interconnecting pores of the foam serve as
10 another site for bone ingrowth. According to the present invention, a disc of tantalum foam can be prepared according to the Kaplan patent. The center of the tantalum foam disc can then be drilled out to obtain a metal foam sleeve. The implant 50 which is depicted in FIG. 12 can be made from the
15 metal foam sleeve 51 and a suitable body 52.

Referring to FIG. 2, the sleeve 15 includes an inner surface 17 for contacting the outer surface 13 of the body 11. The inner surface 17 of the sleeve 15 defines a chamber 18. Preferably, the sleeve 15 is formed of a temperature
20 responsive material, such as a metal, such that the chamber 18 has a first inner dimension that is slightly larger than an outer dimension of the body 11 when the sleeve 15 is in a heated state. In this state, the sleeve 15 can slidably receive the body 11 therein. The chamber 18 has a second
25 inner dimension that is slightly smaller than the body 11 when the sleeve 15 is in a cooled state to thereby clamp the body 11 therein. In other words, the sleeve 15 can be expanded by the application of heat and then shrunk around the body 11 by cooling. Alternatively, when the body 11 is
30 a ceramic, it can be formed within the chamber 18 of the sleeve 15.

The size and shape of the sleeve 15 corresponds to the configuration of the outer surface 13 of the body 11 as

-13-

shown in FIGS. 2 and 5-11. For example, when the body is cylindrical, the sleeve is a hollow cylinder as shown in FIGS. 6-11. In one specific embodiment of the invention, the sleeve 15 has a height which is less than a height of the outer surface 13 of the body 11 to permit contact of the opposite faces 12, 14 of the body 11 with endplates of the corresponding vertebrae V when the implant is implanted between the vertebrae V.

Referring to FIG. 1, an implant according to the present invention preferably includes a sleeve 15 which defines a plurality of apertures 16 defined therethrough in communication with the outer surface 13 of the body 11 for bone ingrowth. Any suitable shape is contemplated for the apertures. As shown in FIGS. 6-11, the apertures may be generally circular, oval, diamond shaped or rectangular. However, the size and number of apertures must be controlled to maintain the overall strength of the sleeve 15.

Preferably, the implants of the present invention include attaching means for attaching the sleeve 21, 31 of the implants to the adjoining vertebral bodies V as shown in FIGS. 3 and 4. In one specific embodiment depicted in FIG. 3, the attaching means includes teeth 23 disposed at the superior 26 and inferior 27 ends of the sleeve 21. As shown in FIG. 4, the attaching means may alternatively include roughened surfaces 33, 34 defined on the superior 36 and inferior 37 ends of the sleeve 31. The roughened surfaces can be formed by conventional machining techniques, such as knurling.

In one embodiment, shown in FIG. 13, the sleeve 56 includes stops 57, 58, which contact the adjacent vertebral bodies to prevent the device 55 from slipping forward within the disc space.

-14-

In a preferred embodiment, the body 11 of the spacer 10 is shaped from bone. The combination of the reinforcing sleeve 15 and the body 11 composed of a bone material provides a load bearing bone graft. It is contemplated that any suitable bone material may be used. The bone material may be autogeneic, allogeneic or xenogeneic. For example, the bone grafts of copending application, USSN 08/740,031 filed October 23, 1996 (corresponding to WO 98/17209, filed October 21, 1997) can be combined with the reinforcement sleeve of the present invention to provide a reinforced bone graft having a high compressive strength. The text of that application is hereby incorporated by reference.

In one preferred embodiment, the bone material is deactivated, i.e., is treated to remove fat and protein. The deactivated bone material may be, for example, as described in U.S. Patent No. 5,417,975 to Lussi et al., U.S. Patent No. 4,314,380 to Miyata et al., U.S. Patent No. 5,573,771 to Geistlich et al., U.S. Patent No. 4,882,149 to Spector, "A Chemosterilized Antigen-Extracted Autodigested Alloimplant for Bone Banks" by Urist MD, et al., in Arch Surg/Vol. 110, April 1975 and "Xenogeneic Bone Grafting in Humans by Salama, in Xenogeneic Bone Grafting, Number 174, April 1983. In some of these methods, the deactivated bone material has been treated to remove all of the bone proteins which results in a powdery mineral material. In such cases, it is important to add collagen, gelatin or a similar protein or composition to provide the correct consistency.

Most preferably, the deactivated bone material will be a selectively deactivated bone material which is described in copending application, USSN 08/873,276, filed 11 June 1997 or is available from the University of Florida Tissue Bank, Inc. (UFTB) 1 Innovation Drive, Alachua, Florida 32615, 904-462-3097 or 1-800-OAGRAFT. This material has been

-15-

5 treated to remove all of the non-collagenous bone proteins leaving a non-immunogenic disease-free bone product. This product has the natural chemistry and mineral microcrystalline structure of bone with a consistency which can be shaped into desired forms.

10 The selectively deactivated product is preferred because it has a micro-structure which is the closest to natural bone of all of the known treated bone products. This bone product also has the radiopacity of natural bone and does not show the dense white image of the bone mineral of Spector. The selectively deactivated product also provides superior resorbability, particularly when combined with an osteogenic factor. Resorption has been found to advantageously occur within several months as opposed to 15 several years of the Spector material or the few weeks of the Urist product. When the material is combined with a bone growth factor, the resorption time is ample for forming the boney bridge required for fusion and bone healing. The selectively deactivated material also has an elasticity 20 similar to normal bone while the Spector and Geistlich materials have been found to be brittle and weak.

25 The bone materials of this invention are preferably synergistically combined with an osteogenic composition or material containing a bone growth factor or protein. An osteogenic material can be applied to the bone material by impregnating the graft with a solution including an osteogenic composition. The composition may be applied by the surgeon during surgery or the spacer may be supplied with the composition preapplied. In such cases, the 30 osteogenic composition may be stabilized for transport and storage such as by freeze-drying. The stabilized composition can be rehydrated and/or reactivated with a sterile fluid such as saline or water or with body fluids

-16-

applied before or after implantation. The term osteogenic composition used here means virtually any material that promotes bone growth or healing including natural, synthetic and recombinant proteins, hormones and the like.

5 The osteogenic compositions used in this invention preferably comprise a therapeutically effective amount to stimulate or induce bone growth or healing of a substantially pure bone inductive factor such as a bone morphogenetic protein in a pharmaceutically acceptable
10 carrier. The preferred osteoinductive factors are the recombinant human bone morphogenic proteins (rhBMPs) because they are available in unlimited supply and do not transmit infectious diseases. Most preferably, the bone morphogenetic protein is a rhBMP-2, rhBMP-4 or heterodimers
15 thereof. The concentration of rhBMP-2 is generally between about 0.4 mg/ml to about 1.5 mg/ml, preferably near 1.5 mg/ml. However, any bone morphogenetic protein is contemplated including bone morphogenetic proteins designated as BMP-1 through BMP-13. BMPs are available from
20 Genetics Institute, Inc., Cambridge, Massachusetts and may also be prepared by one skilled in the art as described in U.S. Patent Nos. 5,187,076 to Wozney et al.; 5,366,875 to Wozney et al.; 4,877,864 to Wang et al.; 5,108,922 to Wang et al.; 5,116,738 to Wang et al.; 5,013,649 to Wang et al.;
25 5,106,748 to Wozney et al.; and PCT Patent Nos. WO93/00432 to Wozney et al.; WO94/26893 to Celeste et al.; and WO94/26892 to Celeste et al. All osteoinductive factors are contemplated whether obtained as above or isolated from bone. Methods for isolating bone morphogenic protein from
30 bone are described in U.S. Patent No. 4,294,753 to Urist and Urist et al., 81 PNAS 371, 1984.

-17-

The choice of carrier material for the osteogenic composition is based on biocompatibility, biodegradability, and interface properties. The bone growth inducing composition can be introduced into the pores of the bone material in any suitable manner. For example, the composition may be injected into the pores of the graft. In other embodiments, the composition is dripped onto the graft or the graft is soaked in a solution containing an effective amount of the composition to stimulate osteoinduction. In either case the pores are exposed to the composition for a period of time sufficient to allow the liquid to thoroughly soak the graft. The osteogenic factor, preferably a BMP, may be provided in freeze-dried form and reconstituted in a pharmaceutically acceptable liquid or gel carrier such as sterile water, physiological saline or any other suitable carrier. The carrier may be any suitable medium capable of delivering the proteins to the spacer. Preferably the medium is supplemented with a buffer solution as is known in the art. In one specific embodiment of the invention, rhBMP-2 is suspended or admixed in a carrier, such as water, saline, liquid collagen or injectable bicalcium phosphate. The BMP solution can be dripped into the graft or the graft can be immersed in a suitable quantity of the liquid. In a most preferred embodiment, BMP is applied to the pores of the graft and then lyophilized or freeze-dried. The graft-BMP composition can then be frozen for storage and transport.

In a specific embodiment for use in the cervical spine, the body has a height of 8mm and a diameter of 11mm. The sleeve has an overall height of 10mm, including teeth, and a height of slightly over 8mm between the teeth. The sleeve in the specific embodiment has an inner diameter slightly

-18-

less than 11mm and a wall thickness of about 1mm. The sleeve is provided with twenty-four uniformly sized and spaced apertures having a diameter of about 2mm.

5 The implants and spacers of this invention may be implanted according to surgical procedures which are well known in the art. In addition, the implants and spacers can be implanted following a full or partial discectomy of the instrumented disc space.

10 Implants and spacers according to the present invention have compressive strengths sufficient to withstand the normal loads on the spinal column. These inventive implants and spacers are at least as strong as tricortical iliac crest grafts. The implants and spacers have an ASTM C-773 compressive strength of at least 7 MPa, preferably at least 15 20 MPa and most preferably at least 40 MPa. Under these loads, the outer sleeve will bear most of the load without bending or fracture, to protect the more brittle and weaker ceramic material within.

20 Implants according to this invention combine the advantages of porous biocompatible materials with stronger materials such as metals. The implants provide immediate load bearing capability without stress shielding. The sleeve composed of the stronger materials provides a tension band around the porous biocompatible material to prevent 25 fracture of the body. The body carries the initial load and slowly transfers it to the newly formed bone. The porous biocompatible material provides a large surface area for bone ingrowth and eliminates the needs for autograft. Where the biocompatible material is a bioceramic or a bone graft, 30 it will be eventually resorbed and replaced by host bone.

-19-

The use of a deactivated bone body in synergistic combination with a bone growth factor in this invention solves many of the problems of using bone graft. The deactivation process removes immunogenic and disease causing agents while retaining the natural microstructure of bone. This advantageously allows the use of xenograft, which is available in virtually unlimited supply. Fortifying the graft with a bone growth factor yields an osteoinductive graft which makes the pain and risk of harvesting autograft unnecessary. The combination of a bone material with a reinforcement member provides a load bearing graft which avoids the disadvantages of a metal implant, such as stress shielding. Therefore, this invention combines all of the advantages of autograft, allograft, xenograft and metal spacers without any of the corresponding disadvantages.

While the invention has been illustrated and described in detail in the drawings and foregoing description, the same is to be considered as illustrative and not restrictive in character, it being understood that only the preferred embodiment has been shown and described and that all changes and modifications that come within the spirit of the invention are desired to be protected.

-20-

REFERENCES

The following references are indicative of the level of skill in the art and are hereby incorporated by reference in their entirety as if each had been individually incorporated
5 by reference and fully set forth.

An et al., Porous Biphasic Ceramics for Cervical Fusion, Spine, accepted for publication, 1995.

Delecrin et al., Biphasic Calcium Phosphate as a Bone Graft Substitute for Spine Fusion: Stiffness Evaluation,
10 Fourth World Biomaterials Congress, 1992 (p. 644).

Li Yubaoi et al., The Influence of Multiphase Calcium Phosphate Bioceramics on Bone Formation in Nonosseous Tissues, Society for Biomaterials, 1993 (p. 165).

Toth et al., Ceramic-induced Osteogenesis Following Subcutaneous Implantation of Calcium Phosphates, Bioceramics, Vol. 6 eds. Ducheyne & Christianson, 1993 (pp. 9-14).
15

Toth et al., Comparison of Compressive Strengths of Iliac Bone Grafts and Porous Calcium Phosphate Ceramics for Spine Fusion, Orthopaedic Research Society, 1994 (p. 719).
20

Xingdong et al., Initiation of the Osteoinduction in Calcium Phosphate Ceramics with the Bone Growth Factor, Society for Biomaterials, 1993 (p. 299).

U.S. Patent No. 5,282,861 to Kaplan.

25 U.S. Patent No. 5,417,975 to Lussi et al.

U.S. Patent No. 4,314,380 to Miyata et al.

U.S. Patent No. 5,573,771 to Geistlich et al.

U.S. Patent No. 4,882,149 to Spector.

A Chemosterilized Antigen-Extracted Autodigested Alloimplant for Bone Banks by Urist MD, et al., in Arch Surg/Vol. 110, April 1975
30

Xenogeneic Bone Grafting in Humans by Salama, in Xenogeneic Bone Grafting, Number 174, April 1983.

-21-

WHAT IS CLAIMED:

1. An interbody fusion spacer, comprising:
a body having an outer surface and a height
approximating the height of a human disc space, said body
5 composed of a deactivated bone graft;
a therapeutically effective amount to stimulate bone
growth of a bone growth factor dispersed within said body;
and
a sleeve engaged to said outer surface of said body,
10 said sleeve including a material relatively stronger under
compression than said body.
2. The spacer of claim 1 wherein said sleeve defines a
plurality of apertures therethrough in communication with
said outer surface of said body for bone ingrowth.
- 15 3. The spacer of claim 2 wherein said apertures are
generally circular.
4. The spacer of claim 2 wherein said apertures are
generally oval.
5. The spacer of claim 2 wherein said apertures are
20 generally diamond-shaped.
6. The spacer of claim 2 wherein said apertures are
generally rectangular.
7. The spacer of claim 1 wherein:
said sleeve includes an inner surface for contacting
25 said outer surface of said body, said inner surface of said
sleeve defining a chamber;
said sleeve being formed of a temperature responsive
material such that said chamber has a first inner dimension

-22-

that is slightly larger than an outer dimension of said body when said sleeve is in a heated state to slidably receive said body therein; and

5 said chamber has a second inner dimension that is slightly smaller than said body when said sleeve is in a cooled state to thereby clamp said body therein.

8. The spacer of claim 1 wherein said sleeve has a height less than a height of said outer surface to permit contact of said opposite faces with endplates of the
10 corresponding vertebrae when the spacer is implanted between the vertebrae.

9. The spacer of claim 1, further comprising:
attaching means for attaching said sleeve to the endplates of the adjacent vertebral bodies.

15 10. The spacer of claim 9 wherein:
said sleeve includes an inferior end and a superior end;
and
said attaching means includes teeth disposed at said superior end and said inferior end of said sleeve.

20 11. The spacer of claim 9 wherein:
said sleeve includes an inferior end and a superior end;
and
said attaching means includes roughened surfaces defined on said superior end and said inferior end of said sleeve.

25 12. The spacer of claim 1 wherein said body is cylindrical and said sleeve is a hollow cylinder.

13. The spacer of claim 1 wherein said opposite faces of said body each have a size and shape corresponding to the shape of a vertebral endplate.

-23-

14. The spacer of claim 1 wherein said sleeve has an outer shape approximating the shape of a vertebral endplate.

15. The spacer of claim 1 wherein said sleeve is composed of a metal material.

5 16. The spacer of claim 14 wherein said metal material includes a metal selected from the group consisting of tantalum, niobium, hafnium, tungsten and alloys thereof.

17. The spacer of claim 15 wherein said metal material is a tantalum foam.

10 18. The spacer of claim 14 wherein said sleeve is composed of a metal material including a metal selected from the group consisting of titanium, titanium-vanadium-aluminum alloy, cobalt-chromium alloy, cobalt-chromium-molybdenum alloy, cobalt-nickle-chromium-molybdenum alloy and
15 biocompatible stainless steel.

19. The spacer of claim 17 wherein said biocompatible stainless steel is 316 LVM stainless steel.

20. The spacer of claim 17 wherein said metal sleeve includes titanium.

20 21. The spacer of claim 1 wherein said spacer has an ASTM C-773 compressive strength of at least 7.1 MPa.

22. The spacer of claim 20 wherein said spacer has a compressive strength of at least 20 MPa.

25 23. The spacer of claim 21 wherein said spacer has a compressive strength of at least 40 MPa.

-24-

24. The spacer of claim 1 wherein said body has a kidney-shaped cross-section to conform to the shape of vertebral endplates.

25. The spacer of claim 1 wherein said body is
5 configured to approximate a size and shape of a nucleus pulposus of a natural intervertebral disc

26. The spacer of claim 1 wherein said bone graft is bovine bone.

27. The spacer of claim 1 wherein said deactivated bone
10 graft is composed of natural bone material which has been processed to remove associated non-collagenous bone proteins, said bone material containing native collagen materials and naturally associated bone minerals and substantially free from non-collagenous protein.

15 28. An interbody fusion device, comprising:
a body having an outer surface and a height approximating the height of a human disc space, said body including a porous, biocompatible, biodegradable material for permitting tissue ingrowth therethrough; and
20 a sleeve engaged to said outer surface of said body, said sleeve including a material relatively stronger under compressive loads than said biocompatible material and including an inner surface for contacting said outer surface of said body, said inner surface of said sleeve defining a
25 chamber, said sleeve being formed of a temperature responsive material such that said chamber has a first inner dimension that is slightly larger than an outer dimension of said body when said sleeve is in a heated state to slidably receive said body therein, and said chamber having a second

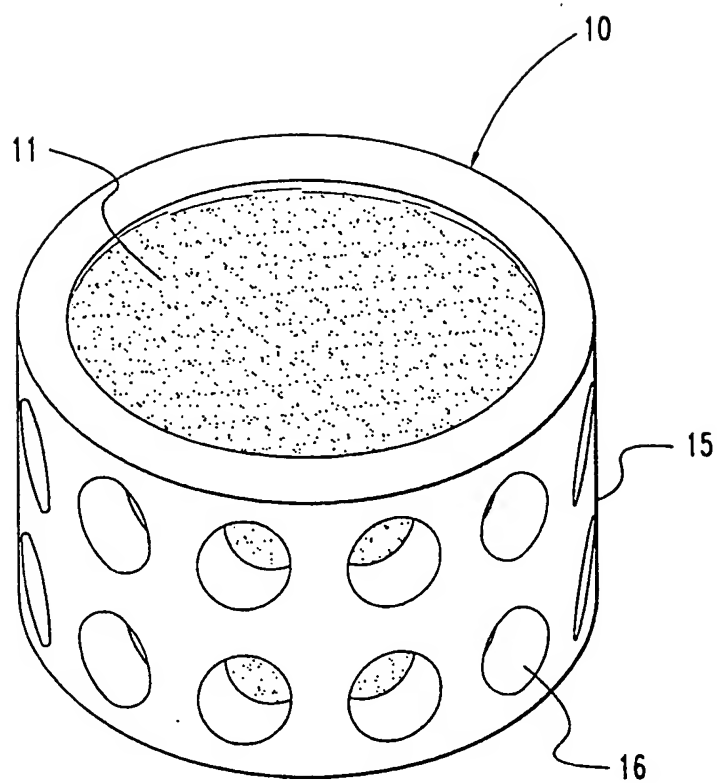
-25-

inner dimension that is slightly smaller than said body when said sleeve is in a cooled state to thereby clamp said body therein.

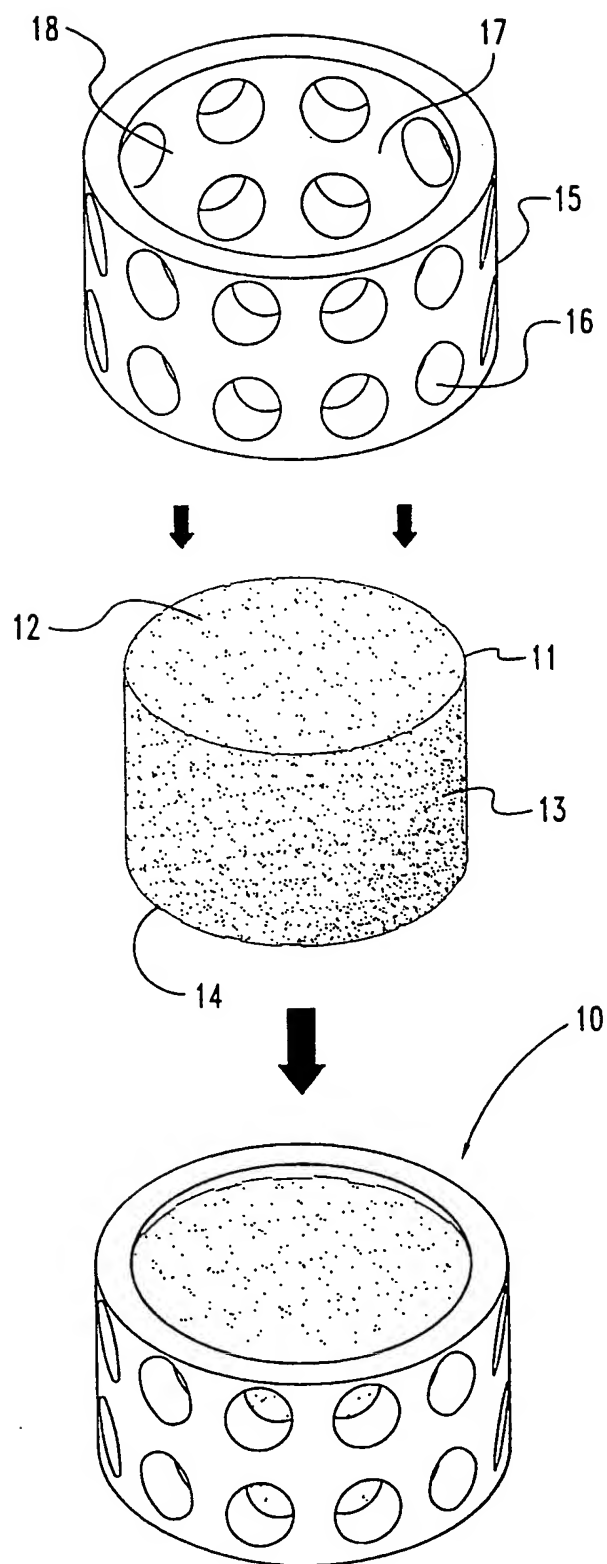
29. An interbody fusion spacer, comprising:
5 a body having an outer surface and a height approximating the height of a human disc space, said body composed of a natural bone material; and
a sleeve engaged to said outer surface of said body, said sleeve including a material relatively stronger under
10 compression than said body.

30. The spacer of claim 29, further comprising a therapeutically effective amount to stimulate bone growth of a bone growth factor dispersed within said body.

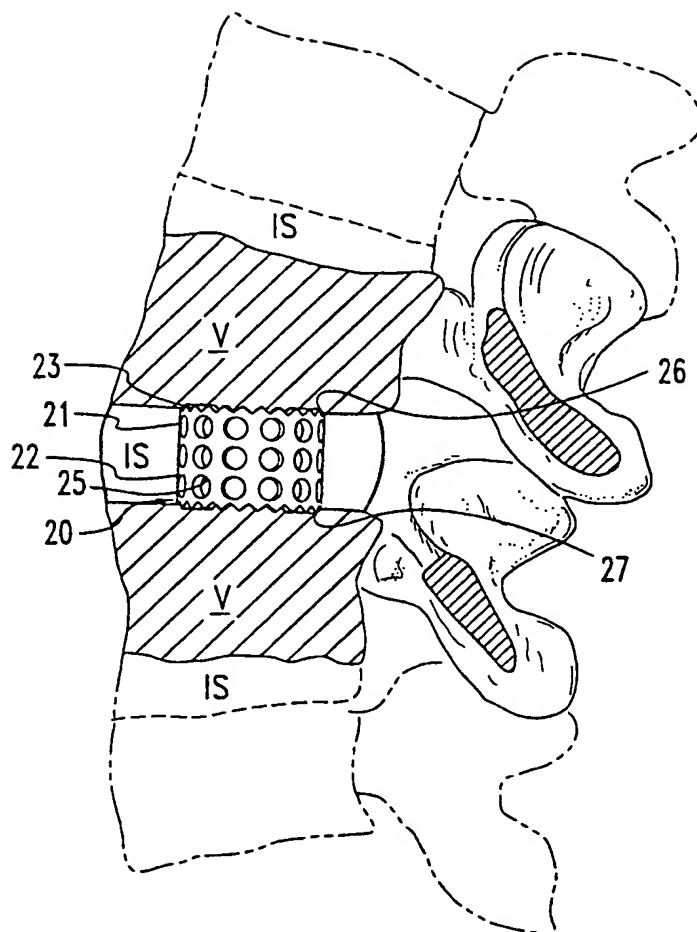
31. The spacer of claim 29 wherein said sleeve defines
15 a plurality of apertures therethrough in communication with said outer surface of said body for bone ingrowth.

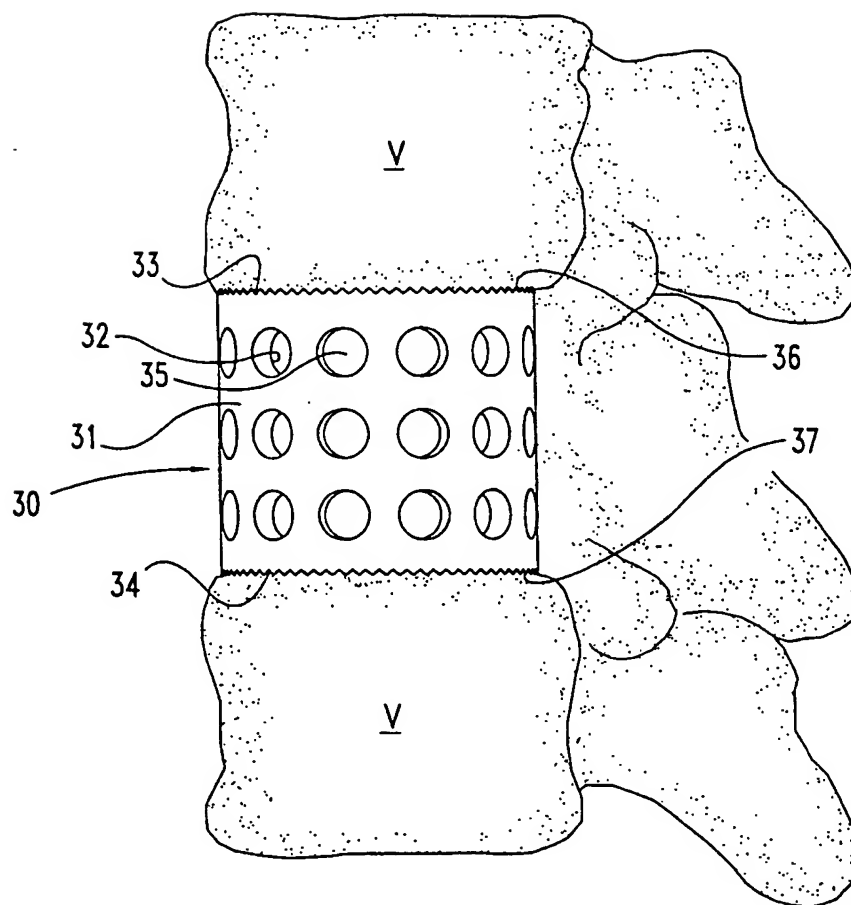
**Fig. 1**

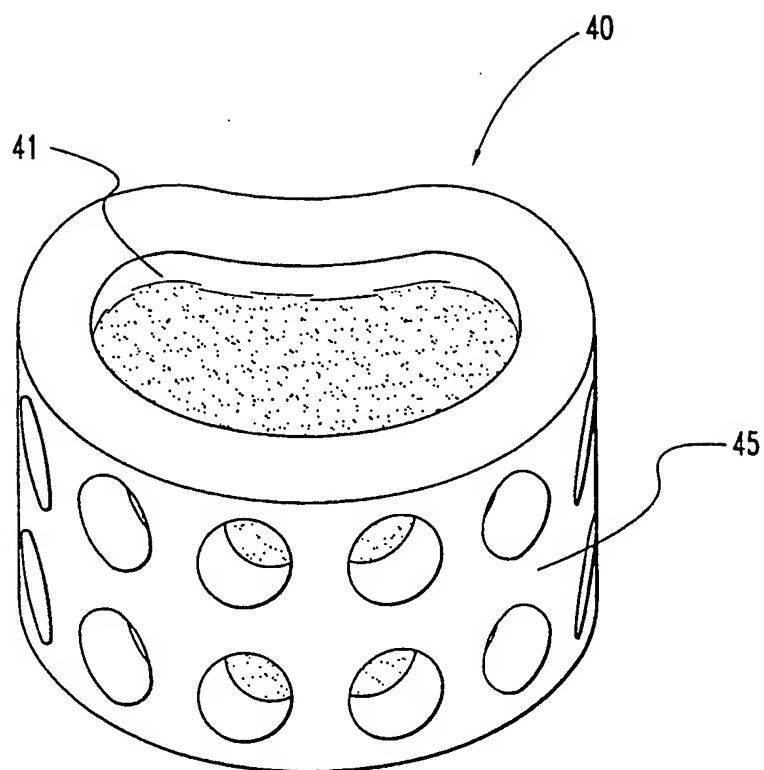
2/6

**Fig. 2**

SUBSTITUTE SHEET (RULE 26)

**Fig. 3**

**Fig. 4**

**Fig. 5**

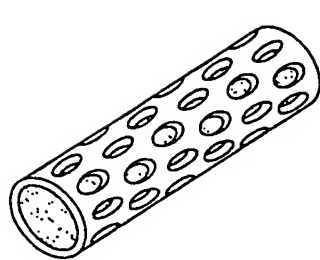


Fig. 6

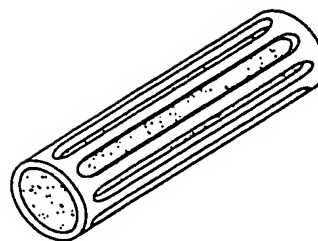


Fig. 7

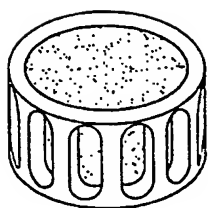


Fig. 8

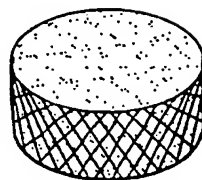


Fig. 9

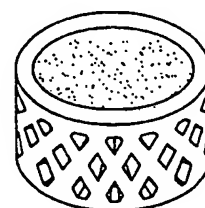


Fig. 10

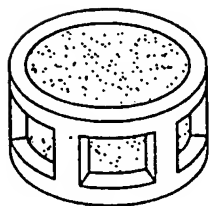


Fig. 11

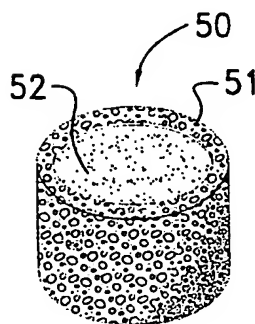


Fig. 12

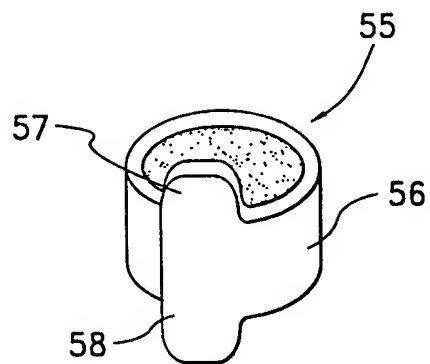


Fig. 13

INTERNATIONAL SEARCH REPORT

Internati . Application No

PCT/US 98/11606

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 A61F2/44

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 40014 A (DANEK MEDICAL INC) 19 December 1996 see figures 1-13 see page 8, line 13 - page 10, line 5 see page 11, line 6 - page 13, line 13 see page 13, line 27 - line 35 see claims 1-68	1-25, 28
P, X	US 5 755 798 A (PAPAVERO LUCA ET AL) 26 May 1998 see figures 1, 2 see column 5, line 12 - line 18 see column 6, line 25 - line 43 see claims 1, 2, 6, 7 see claims 11-14	1-3, 9, 12-15, 26, 27, 29-31

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

14 October 1998

Date of mailing of the international search report

09/11/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Mary, C

INTERNATIONAL SEARCH REPORT

Internat	Application No
PCT/US 98/11606	

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 734 703 A (DANEK MEDICAL INC) 2 October 1996 see figure 2 see column 6, line 36 - column 7, line 8 see column 11, line 11 - line 20 ---	1,2,6,9, 14-20
A	US 5 571 189 A (KUSLICH STEPHEN D) 5 November 1996 see figure 6 see column 6, line 57 - column 7, line 62 see column 12, line 10 - line 23 -----	1,28,29

INTERNATIONAL SEARCH REPORT

Information on patent family members

Internatl Application No

PCT/US 98/11606

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9640014 A	19-12-1996	US 5702449 A AU 5954096 A EP 0836454 A	30-12-1997 30-12-1996 22-04-1998
US 5755798 A	26-05-1998	DE 19541114 A EP 0770367 A	30-04-1997 02-05-1997
EP 0734703 A	02-10-1996	US 5669909 A US 5782919 A AU 2132897 A AU 4829296 A AU 4829496 A CA 2171907 A CA 2171921 A CN 1143488 A CN 1143489 A JP 8266565 A JP 8294495 A NO 961213 A NO 961214 A WO 9731517 A	23-09-1997 21-07-1998 10-09-1997 10-10-1996 10-10-1996 28-09-1996 28-09-1996 26-02-1997 26-02-1997 15-10-1996 12-11-1996 30-09-1996 30-09-1996 28-08-1997
US 5571189 A	05-11-1996	AU 691925 B AU 2592095 A CA 2189677 A EP 0764008 A HU 77219 A JP 10501710 T WO 9531948 A US 5549679 A	28-05-1998 18-12-1995 30-11-1995 26-03-1997 02-03-1998 17-02-1998 30-11-1995 27-08-1996